

**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 OR 15(d) of the
Securities Exchange Act of 1934

Date of Report (Date of earliest event reported):
June 3, 2020 (June 2, 2020)

CLEARPOINT NEURO, INC.

(Exact name of registrant as specified in its charter)

DELAWARE
(State or other jurisdiction
of incorporation)

001-34822
(Commission
File Number)

58-2394628
(I.R.S. Employer
Identification Number)

5 Musick
Irvine, Ca. 92618
(Address of principal executive offices, zip code)

(949) 900-6833
(Registrant's telephone number, including area code)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Securities registered pursuant to Section 12(b) of the Act:

Title of each class	Trading Symbol(s)	Name of each exchange on which registered
Common Stock, \$0.01 par value per share	CLPT	Nasdaq Capital Market

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging Growth Company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Item 5.02. Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

The annual meeting of the stockholders of ClearPoint Neuro, Inc. (the “Company”) was held on June 2, 2020 (the “Annual Meeting”). At the Annual Meeting, the Company’s stockholders voted to approve the adoption of the ClearPoint Neuro, Inc. Third Amended and Restated 2013 Incentive Compensation Plan (the “Plan”). The Company’s Board of Directors had previously adopted and approved the Plan, subject to stockholder approval. A description of the terms and conditions of the Plan is set forth in the Company’s 2020 Proxy Statement, filed with the Securities and Exchange Commission on April 20, 2020 (the “Proxy Statement”) under “Proposal 3 - Approval of Third Amended and Restated 2013 Incentive Compensation Plan,” and such description is incorporated herein by reference. The descriptions set forth herein and in the Proxy Statement are summaries and are qualified in their entirety by the full text of the Plan, a copy of which is incorporated by reference to Exhibit 10.1 to this Current Report on Form 8-K.

Item 5.07. Submission of Matters to a Vote of Security Holders.

At the Annual Meeting, the Company’s stockholders considered and voted on the following proposals:

- (1) The election of eight directors to serve until the 2021 annual meeting of stockholders;
- (2) The ratification of the appointment of Cherry Bekaert LLP as the Company’s independent registered public accounting firm for the year ending December 31, 2020;
- (3) The approval of the Company’s Third Amended and Restated 2013 Incentive Compensation Plan; and
- (4) The advisory approval of executive compensation.

Each proposal was approved and the final voting results for each proposal are described below. For beneficial owners holding the Company’s common stock at a bank or broker institution, a “broker non-vote” occurred if the owner failed to give voting instructions, and the bank or broker was otherwise restricted from voting on the owner’s behalf.

1. **Election of Directors.** The following named persons were elected as directors of the Company to serve until the 2021 annual meeting of stockholders or until their successors have been duly elected and qualified or until their earlier death, resignation, disqualification or removal. The votes were cast as follows:

	For	Withheld	Broker Non-Votes
Joseph M. Burnett	6,824,776	3,841	3,923,179
R. John Fletcher	6,813,767	14,850	3,923,179
Pascal E.R. Girin	6,079,469	749,148	3,923,179
Kimble L. Jenkins	6,685,781	142,836	3,923,179
B. Kristine Johnson	6,818,142	10,475	3,923,179
Matthew B. Klein	6,818,072	10,545	3,923,179
Timothy T. Richards	6,813,166	15,451	3,923,179
John N. Spencer, Jr.	6,803,663	24,954	3,923,179

2. **Ratification of Independent Registered Public Accounting Firm.** The stockholders ratified the appointment of Cherry Bekaert LLP as the Company's independent registered public accounting firm for the year ending December 31, 2020. The votes were cast as follows:

For	Against	Abstain
10,749,058	117	2,621

3. **Approval of the Third Amended and Restated 2013 Incentive Compensation Plan.** The stockholders approved the Company's Third Amended and Restated 2013 Incentive Compensation Plan. The votes were cast as follows:

For	Against	Abstain	Broker Non-Votes
6,143,504	678,757	6,356	3,923,179

4. **Advisory approval of executive compensation.** The stockholders, on an advisory basis, approved the compensation of the Company's executives. The votes were cast as follows:

For	Against	Abstain	Broker Non-Votes
6,639,844	180,390	8,383	3,923,179

Item 9.01 Financial Statements and Exhibits.

(d) Exhibits.

Exhibit No. **Description**

10.1 [ClearPoint Neuro, Inc. Third Amended and Restated 2013 Incentive Compensation Plan](#) (filed as Appendix A to ClearPoint Neuro, Inc.'s Definitive Proxy Statement on Schedule 14A filed with the Securities and Exchange Commission on April 20, 2020 and incorporated herein by reference).

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: June 3, 2020

CLEARPOINT NEURO, INC.

By: /s/ Harold A. Hurwitz
Harold A. Hurwitz
Chief Financial Officer