SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL
OMB Number: 3235-0287
Estimated average burden
hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person * FLETCHER R JOHN					2. Issuer Name and Ticker or Trading Symbol <u>ClearPoint Neuro, Inc.</u> [CLPT]									(Check	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner				
(Last) (First) (Middle)				3. Date of Earliest Transaction (Month/Day/Year) 10/03/2022 4. If Amendment, Date of Original Filed (Month/Day/Year)										Officer (give title below)			Other (specify below)		
C/O CLEARPOINT NEURO, INC. 120 S. SIERRA AVE., SUITE 100													6. Indiv X	, , ,					
(Street) SOLANA BEACH CA 92075														Form file	d by More	than C	one Reportin	g Person	
(City)	(State)	(Zi	p)																
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
Date					Date Exe (Month/Day/Year) if ar		2A. Deemed Execution Date, if any (Month/Day/Year)					ecurities Acquired (A) or osed Of (D) (Instr. 3, 4 ar			Securities Beneficiall Following	ecurities		vnership : Direct (D) direct (I) . 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
									Code	v	Amount		(A) or (D)	Price	(Instr. 3 and 4)				(1150.4)
Common Stock 10/0					/03/2022				Α		1,702	2	Α	\$10.19 ⁽¹⁾	68,286			D	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution D if any (Month/Day/	ate,	Code (Instr.		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercis Expiration Date (Month/Day/Yea		e Secu ar) Deriv		7. Title and Amount of Gecurities Underlying Derivative Security Instr. 3 and 4) Amount		8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securities Beneficia Owned Following Reported Transacti (Instr. 4)	e s illy g	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					Code	v	(A)	(D)	Date Exercisa		Expiration Date	Title		or Number of Shares		(instr. 4)			

Explanation of Responses:

1. These shares were issued pursuant to the issuer's Fourth Amended and Restated 2013 Incentive Compensation Plan, in payment of fees owed to the reporting person under the issuer's Non-Employee Director Compensation Plan, as amended and restated. The shares were issued at a price of \$10.19 per share, representing the volume-weighted average price of the issuer's common stock for the five-trading day period ending September 30, 2022.

Remarks:

Richard F. Mattern, by Power of 10/04/2022

Attorney for R. John Fletcher

Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.