SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL											
OMB Number:	3235-0287										
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1. Name and Address of Reporting Person* STIGALL L. JEREMY					2. Issuer Name and Ticker or Trading Symbol <u>ClearPoint Neuro, Inc.</u> [CLPT]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner					
(Last) (First) (Middle)					3. Date of Earliest Transaction (Month/Day/Year) 03/06/2023							X	Officer (g below)		Other (spa below) ics & Drug Delivery			
C/O CLEARPOINT NEURO, INC. 120 S. SIERRA AVE., SUITE 100					4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street) SOLANA BEACH CA 92075													X Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City)	(State	·) (.	Zip)															
		Т	able I - Nor	n-Deriva	tive S	Securitie	es Acc	quired, C)isp	osed of	f, or Benef	cially Ov	vned					
Date				2. Transad Date (Month/Da		2A. Deem Execution if any (Month/D	n Date,								Form	vnership :: Direct (D) direct (I) [:] . 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
								Code	v	Amount	(A) or (D)	Price	(Instr. 3 and 4)					
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year	Code	Transaction Code (Instr.		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisabl		Expiration Date	Title	Amount or Number of Shares		(Instr. 4)				
Stock Option (Right to Buy)	\$8.1	03/06/2023		A		14,814		(1)	0	3/06/2033	Common Stock	14,814	\$ <mark>0</mark>	14,81	4	D		

Explanation of Responses:

(2)

1. The shares subject to this option vest as follows: (i) 20% of the total shares on the first anniversary of the grant date; (ii) 40% of the total shares on the second anniversary of the grant date; and (iii) 40% of the total shares on the third anniversary of the grant date.

(3)

(3)

2. Each restricted stock unit represents a contingent right to receive one share of CLPT common stock.

03/06/2023

3. The restricted stock units vest as follows: (i) 20% of the total shares on the first anniversary of the grant date; (ii) 40% of the total shares on the second anniversary of the grant date; and (iii) 40% of the total shares on the third anniversary of the grant date.

Remarks:

Restricted Stock

Units

/s/ Richard F. Mattern by Power of Attorney for Jeremy L. Stigall

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D

** Signature of Reporting Person Date

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\$<mark>0</mark>

Common

Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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